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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/988,817
	<b>Filing Date</b>	November 20, 2001
	<b>First Named Inventor</b>	Toshiyuki HONDA
	<b>Group Art Unit</b>	Unassigned
	<b>Examiner Name</b>	Unassigned
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> 0819-0695

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) (30 Sheets) <input checked="" type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Other
<b>Remarks</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	Eric J. Robinson, Reg. No. 38,285 Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22102
<b>Signature</b>	
<b>Date</b>	2-11-02

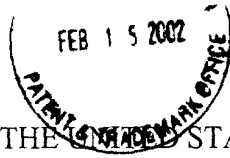
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#13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
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In re PATENT application of )  
TOSHIYUKI HONDA )  
Serial No. 09/988,817 ) Group Art Unit: Unassigned  
Filed: 11/20/2001 )  
For: FERROELECTRIC MEMORY )

**TRANSMITTAL OF PRIORITY DOCUMENT AND CLAIM OF FOREIGN  
FILING DATE PURSUANT TO 35 U.S.C. § 119**

Honorable Commissioner for Patents

Washington, D.C. 20231

Sir:

At the time of filing the above-references application, benefit of foreign priority under 35 U.S.C. § 119 was claimed. Submitted herewith is a certified copy of priority document number 2000-365276 to perfect the claim of priority. Acknowledgment is respectfully requested.

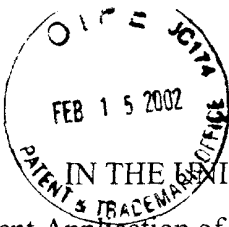
Respectfully submitted,

Eric J. Robinson  
Reg. No. 38,285

Nixon Peabody LLP  
8180 Greensboro Drive, Suite 800  
McLean, Virginia 22102  
(703) 790-9110

**CERTIFICATE OF MAILING**

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#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
Toshiyuki HONDA ) Attention: Applications Branch  
Serial No. 09/988,817 )  
Filed: November 20, 2001 )  
For: FERROELECTRIC MEMORY )

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**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**OF APPLICATION - FILING DATE GRANTED**


Honorable Commissioner for Patents  
Washington, D.C. 20231

Sir:

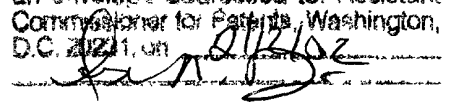
In response to the Notice to File Missing Parts of Application - Filing Date Granted, under 37 CFR 1.53(b) dated January 18, 2002, submitted herewith is an executed Declaration and Power of Attorney, an English translation of the application with Declaration, substitute drawings in compliance with 37 CFR 1.84 and a copy of Notice to File Missing Parts of Application - Filing Date Granted along with the fees under 37 CFR 1.16. (Statutory Basic Filing Fee - \$740, Surcharge - \$130, Translation Fee - \$130 and Additional Claims Fee - \$504).

The above fees are attached herewith. Should there be any additional fees required for proper filing of the attached documents, please charge Deposit Account No. 19-2380. Prompt issuance of a filing receipt is respectfully requested.

Respectfully submitted,

  
Eric J. Robinson  
Reg. No. 38,285

NIXON PEABODY LLP  
8180 Greensboro Drive  
McLean, Virginia 22102  
(703) 790-9110

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#7



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,817	11/20/2001	Toshiyuki Honda	740819-695

CONFIRMATION NO. 8689

## FORMALITIES LETTER



\*OC000000007334796\*

22204  
NIXON PEABODY, LLP  
8180 GREENSBORO DRIVE  
SUITE 800  
MCLEAN, VA 22102

Date Mailed: 01/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 1000.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart

that was originally not in English that has been marked up to include the English text)  
see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

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*A copy of this notice **MUST** be returned with the reply.*



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